



NH-PUC 9MAR'20PM1:45

Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.*

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>		
Applicant's General Information		
Puc 2006.02(a)	Legal Name	ESG Energy LLC
	Trade Name (d/b/a) (if applicable)	
Puc 2006.02(b)	Business Mailing Address	549 West 123 rd St. Apt 8G New York, NY 10027
	Telephone Number	908-285-6076
	E-Mail Address	DJohnson@ESGenergy.com
	Website Address (if applicable)	
Puc 2006.02(c)	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), 1 if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
	Name	David L. Johnson
	Title	Chief Executive
	Business Mailing Address	549 West 123 rd St. Apt 8G New York, NY 10027
	Telephone Number	908-285-6076
	E-Mail Address	DJohnson@ESGenergy.com
	Name	Margaret M. Johnson
	Title	Chief Financial Officer
	Business Mailing Address	549 West 123 rd St. Apt 8G New York, NY 10027
	Telephone Number	908-285-6076
	Email Address	DJohnson@ESGenergy.com
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	- -
	E-Mail Address	

1 "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



Customer Service Contact		
Puc 2006.02(d)	Name	David L. Johnson
	Title	Chief Executive
	Telephone Number	908-285-6076
	Toll-Free Telephone Number (if available)	- -
	E-Mail Address	DJohnson@ESGenergy.com

Customer Complaints Contact		
Puc 2006.02(e)(1)	Name	David L. Johnson
	Title	Chief Executive
	Business Mailing Address	549 West 123 rd St. Apt 8G
		New York, NY 10027
	Telephone Number	908-285-6076
	E-Mail Address	DJohnson@ESGenergy.com

Regulatory Compliance Matters Contact		
Puc 2006.02(e)(2)	Name	David L. Johnson
	Title	Chief Executive
	Business Mailing Address	549 West 123 rd St. Apt 8G
		New York, NY 10027
	Telephone Number	908-285-6076
	E-Mail Address	DJohnson@ESGenergy.com

Commission Assessment Payments Contact		
Puc 2006.02(e)(3)	Name	David L. Johnson
	Title	Chief Executive
	Business Mailing Address	549 West 123 rd St. Apt 8G
		New York, NY 10027
	Telephone Number	908-285-6076
	E-Mail Address	DJohnson@ESGenergy.com

Separate Attachments: Business Authority and Trade Name	
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or (2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.




Statements Regarding Applicant and its Principals		
	Please respond to each of the following questions with either "Yes" or "No."	
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	

Other States		
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	NY, NJ, CT

Statement Regarding Supplier Representation		
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	No
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.	

Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	9/1/18 Date

Attestation and Signature		
Puc 2006.02(l) and (m)	<p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p> <p> Signature of the applicant or its authorized representative</p> <p>Name: DAVID L. JOHNSON</p> <p>Title: CHIEF EXECUTIVE</p>	<p>3/5/2000 Date</p>

Filing Instructions		
	<p>1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: Executive.Director@puc.nh.gov</p>	

State of New Hampshire

Department of State

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that ESG ENERGY LLC is a New York Limited Liability Company registered to transact business in New Hampshire on May 14, 2018. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 794878

Certificate Number: 0004822854



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 3rd day of March A.D. 2020.

A handwritten signature in cursive script, appearing to read "William M. Gardner".

William M. Gardner
Secretary of State

Biennial Statement

NYS Department of State
Division of Corporations, State Records &
Uniform Commercial Code
<http://www.dos.ny.gov>

BUSINESS NAME: ESG ENERGY LLC

FILING PERIOD: 09/2019

Part 1 - Service of Process Address (Address must be within the United States or its territories)

Name DAVID L. JOHNSON		
Address Line 1 549 WEST 123RD STREET		
Address Line 2 APARTMENT 8G		
City NEW YORK	State NY	Zip Code 10027

Signer Information

I affirm that the statements contained herein are true to the best of my knowledge, that I am authorized to sign this Biennial Statement and that my signature typed below constitutes my electronic signature.

Electronic Signature DAVID L. JOHNSON
Capacity of Signer MEMBER

FILED WITH THE NYS DEPARTMENT OF STATE ON: 09/16/2019
FILING NUMBER: 190916060102 - 5207989

New York State Department of State***Division of Corporations Biennial Statement e-Filing System*****SUBMISSION CONFIRMATION
PLEASE PRINT FOR YOUR RECORDS**

Thank you for submitting your biennial statement online. The biennial statement submitted through the Biennial Statement e-Filing System has been transmitted to the Department of State.

Transmittal Informational:

DOS ID: 5207989
BUSINESS NAME: ESG ENERGY LLC
Filing Period: 09/2019
Transmittal Date: 09/16/2019 10:29 AM
Credit Card Auth Code: 148802
Credit Card Trans Id: 160919A44-D46313DD-0CF8-4E46-800C-26BAEA95FF7C
Last 4 Digits of Credit Card: 2001
Record Number: 20190916000106

The Credit/Debit Card has been charged \$ 9.00 on: 09/16/2019 10:29 AM

Upon successful filing of the electronic biennial statement in the records of the Department of State a filing acknowledgment will be sent to you at the e-Mail address provided:
DJOHNSON@ESGENERGY.COM.

Please note that modifications made through the e-Statement Filing System may not be reflected in the records of the Department for 1 to 3 business days.

If you have questions regarding your electronic filing please contact us at corporations@dos.ny.gov

NYS Division of Corporations, State Records & Uniform Commercial Code
One Commerce Plaza, 99 Washington Avenue
Albany, NY 12231-0001
(518) 473-2492

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CLOSE APPLICATION
Return to Main Page